Structures of Diversity

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Computer Science Department Sapienza University of Rome

ICTCS 2010

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Zero-Error Capacity

Zero–Error Capacity

Shannon 1956*

Suppose we want to transmit messages across a channel (where some symbols may be distorted) to a receiver: What is the maximum rate of transmission such that the receiver may recover the original message without errors?



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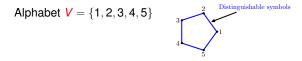
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Channel



Zero-Error Capacity

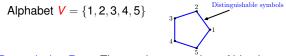
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 Transmission Rate: The maximum number of bits that can be transmitted without errors per channel use.

Zero-Error Capacity

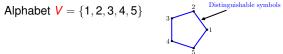
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Single symbols: log 2

Zero-Error Capacity

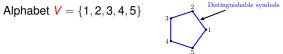
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Single symbols: $\log \omega(G)$

Zero-Error Capacity

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... and if we use larger strings in place of single symbols ...



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 $x_1x_2 \in y_1y_2$



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Zero-Error Capacity

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Graph G²:

Zero-Error Capacity

Zero-error capacity

... and if we use larger strings in place of single symbols ...

Graph G²:

•
$$V(G^2) = V \times V = \{11, 12, \dots, 55\}$$



Zero-Error Capacity

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Zero-error capacity

... and if we use larger strings in place of single symbols ...

Graph G²:

- $V(G^2) = V \times V = \{11, 12, \dots, 55\}$
- $\{\mathbf{v}, \mathbf{w}\} \in E(G^2) \Rightarrow \exists i : \{v_i, w_i\} \in E(G)$

Zero-Error Capacity

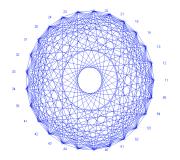
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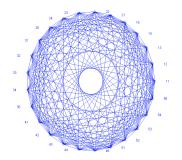
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 $\omega(\mathbf{G}^2) = ?$



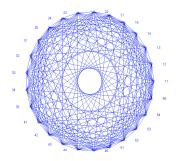
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 $C = \{11, 23, 35, 42, 54\}$



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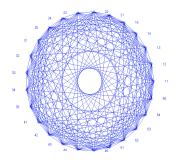
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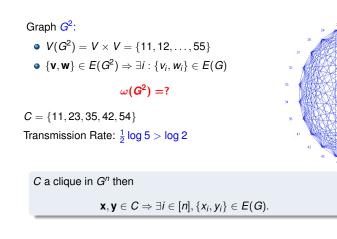
 $C = \{11, 23, 35, 42, 54\}$ Transmission Rate: $\frac{1}{2} \log 5 > \log 2$



Zero-Error Capacity

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... and if we use larger strings in place of single symbols ...



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Definition

The Shannon zero-error capacity of G is

$$C(G) = \lim_{n \to +\infty} \frac{1}{n} \log \omega(G^n)$$

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$$C(C_5) = ?$$

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Zero-Error Capacity

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Lovász '79 : $C(C_5) = \frac{1}{2} \log 5$

[Lov79] L. Lovász, On the Shannon capacity of a graph, IEEE Trans. Inform. Theory 25, 1979

Zero-Error Capacity

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Determining the value of $C(C_7)$ is still open!

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Zero-Error Capacity

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Generalizations

- Graphs [Sha56]
- Directed Graphs [KS92, GKV92]
- Graph Families [CKS90, GKV94]
- Uniform Hypergraphs [KM90]

Zero-Error Capacity

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Connections

Extremal Combinatorics

- Perfect Graphs [Ber62]
- Qualitative Independence [Rén71, GKV93]

Information Theory

- Perfect hashing [FK84]
- Zero error list decoding [Eli57]
- Zero error capacity of compound channels [BBT59, Dob59, Wol60, NR05]

Zero-Error Capacity

Generalization to Infinite Graphs?

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Generalization to Infinite Graphs?

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Permutations

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G-different Permutations.

[KSS09] J. Körner, G. Simonyi and B.Sinaimeri, On types of growth for graph-different permutations, J. Combin. Theory Ser. A, 116, 713–723, 2009.

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$$\pi = \pi(1)\pi(2)\dots\pi(n)$$

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Definition

G an infinite graph with $V(G) = \mathbb{N}$. Two permutations π , ρ of [n] are said *G*–different if $\exists i \in [n]$ such that $\{\pi(i), \rho(i)\} \in E(G)$.

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n = 5

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Example



n = 5

π = 12345
 ρ = 13245

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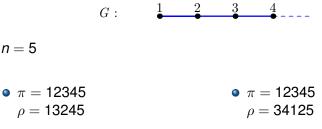
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G-different.

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n = 5

π = 12345
 ρ = 13245

G-different.

• $\pi = 12345$ $\rho = 34125$

Not G-different.

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Problem

T(G, n) the maximum cardinality of a set of pairwise *G*-different permutations of [n].

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The semi-infinite path L

Conjecture

[KM06]

$$T(L,n) = \binom{n}{\lfloor \frac{n}{2} \rfloor}$$

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• Best upper and lower bounds in [BCF⁺]

A precise Result Shannon Zero–Error Capacity Difference and Similarity

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Surprisingly for the complement graph of L an exact formula is found ...

A precise Result Shannon Zero–Error Capacity Difference and Similarity

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Theorem

Let \overline{L} be the complement graph of semi-infinite path, then

$$T(n,\overline{L})=rac{n!}{2^{\lfloor rac{n}{2}
floor}}$$

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Proof (\leq) For any π a permutation of [*n*] define a set $C(\pi)$:

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π : **231456**

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> π : 231456 1 \leftrightarrow 2 : 132456

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- π : 231456 1 \leftrightarrow 2 : 132456
- $3 \leftrightarrow 4: 241356$

A precise Result Shannon Zero–Error Capacity Difference and Similarity

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 $\begin{array}{rl} \pi & : \texttt{231456} \\ \texttt{1} \leftrightarrow \texttt{2} : \texttt{132456} \\ \texttt{3} \leftrightarrow \texttt{4} : \texttt{241356} \\ \texttt{1} \leftrightarrow \texttt{2}, \texttt{5} \leftrightarrow \texttt{6} : \texttt{132465} \end{array}$

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Proof (\leq) For any π a permutation of [*n*] define a set $C(\pi)$:

- *π* : **231456**
- 1 ↔ 2 : **132**456
- $\mathbf{3} \leftrightarrow \mathbf{4}: \mathbf{241356}$

 $1 \leftrightarrow 2, 5 \leftrightarrow 6: 132465$

•
$$|C(\pi)| = 2^{\lfloor \frac{n}{2} \rfloor}$$

• If π, ρ are \overline{L} -different then $C(\pi) \cap C(\rho) = \emptyset.$

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Proof (\geq)



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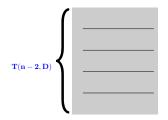
Proof (\geq)

IDEA:

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Proof (\geq)

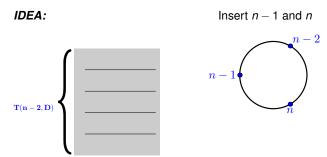
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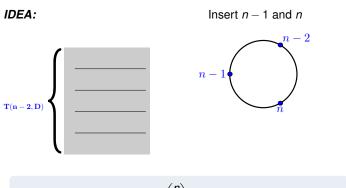


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Proof (\geq)



$$T(n,\overline{L}) \ge {n \choose 2}T(n-2,\overline{L})$$

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Asymptotic Growth T(n,D)

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A precise Result Shannon Zero–Error Capacity Difference and Similarity

Asymptotic Growth T(n,D)

• Exponential $\sim \exp(n)$:



A precise Result Shannon Zero–Error Capacity Difference and Similarity

Asymptotic Growth T(n,D)

• Exponential $\sim \exp(n)$:

 $1.8155^n \le T(n,L) \le \binom{n}{\lfloor \frac{n}{2} \rfloor}$

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• Super-Exponential $\sim \frac{n!}{\exp(n)}$:

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Asymptotic Growth T(n,D)

• Exponential ~ exp(n): $1.8155^n \le T(n,L) \le \binom{n}{\lfloor \frac{n}{2} \rfloor}$

• Super-Exponential
$$\sim \frac{n!}{\exp(n)}$$
: $T(n,\overline{L}) = \frac{n!}{2\lfloor \frac{n}{2} \rfloor}$

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Other?

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• Other? $(\sqrt{n})!^{\sqrt{n}} \leq T(n, F) \leq \frac{n!}{(\sqrt{n})!^{\sqrt{n}}}$

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Study the relations between T(n, G) and $T(n, \overline{G})$

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Asymptotic Growth T(n,D)

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Study the relations between T(n, G) and $T(n, \overline{G})$

Study the asymptotic of

$$\frac{T(n,F)T(n,G)}{T(n,F\cup G)}$$

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A precise Result Shannon Zero–Error Capacity Difference and Similarity

The Shannon zero–error capacity is a special case of the problem of determining the asymptotic growth of T(n, G).

A precise Result Shannon Zero–Error Capacity Difference and Similarity

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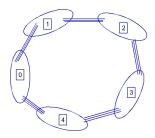
Example

Consider G with $V(G) = \mathbb{N}$ and $\{a, b\} \in E(G)$ if $|a - b| \equiv 1 \text{ o } 4 \pmod{5}$.

A precise Result Shannon Zero-Error Capacity Difference and Similarity

Example

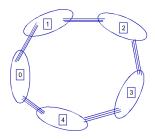
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Example

Consider G with $V(G) = \mathbb{N}$ and $\{a, b\} \in E(G)$ if $|a - b| \equiv 1 \text{ o } 4 \pmod{5}$.



$$\lim_{n \to +\infty} \frac{1}{n} \log T(n,G) = C(C_5)$$

A precise Result Shannon Zero–Error Capacity Difference and Similarity

Difference and Similarity

G-difference



A precise Result Shannon Zero–Error Capacity Difference and Similarity

Difference and Similarity

- **G-difference**
 - Irreflexive relation



A precise Result Shannon Zero–Error Capacity Difference and Similarity

Difference and Similarity

G-difference

- Irreflexive relation
- Locally verifiable



A precise Result Shannon Zero–Error Capacity Difference and Similarity

Difference and Similarity

G-difference

- Irreflexive relation
- Locally verifiable

The "opposite" of a difference relation



A precise Result Shannon Zero–Error Capacity Difference and Similarity

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Difference and Similarity

G-difference

- Irreflexive relation
- Locally verifiable

The "opposite" of a difference relation

Similarity relation

A precise Result Shannon Zero–Error Capacity Difference and Similarity

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Difference and Similarity

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Erdős–Ko–Rado [EKR61]

Intersection Problems



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Intersection Problems

- Erdős–Ko–Rado [EKR61]
- Intersection theorems for permutations [EFP]

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- Irreflexive relation
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The "opposite" of a difference relation

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Intersection Problems

Similarity relation

The G-difference property is never satisfied!!

- Erdős–Ko–Rado [EKR61]
- Intersection theorems for permutations [EFP]

A precise Result Shannon Zero–Error Capacity Difference and Similarity

Difference and Similarity

G-difference

- Irreflexive relation
- Locally verifiable

The "opposite" of a difference relation

Similarity relation

- Reflexive relation
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Intersection Problems

Similarity relation

The G-difference property is never satisfied!!

Reflexive relation

- Erdős–Ko–Rado [EKR61]
- Intersection theorems for permutations [EFP]

A precise Result Shannon Zero–Error Capacity Difference and Similarity

Difference and Similarity

G-difference

- Irreflexive relation
- Locally verifiable

The "opposite" of a difference relation

Similarity relation

- Reflexive relation
- Locally verifiable

Intersection Problems

Similarity relation

The G-difference property is never satisfied!!

- Reflexive relation
- Not locally verifiable

- Erdős–Ko–Rado [EKR61]
- Intersection theorems for permutations [EFP]

Forbiddance of a graph family Reverse-free triples

Forbiddance Problems

[FKMS] Z. Füredi, I. Kantor, A. Monti and B. Sinaimeri, On sets of pairwise reverse free ordered triples, SIAM J. Discrete Math. Volume 24, Issue 3, pp. 964-978 (2010)

Forbiddance of a graph family Reverse-free triples

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Definition

For *G*, denote by $\vec{\mathcal{G}}(G)$ the family of all the orientations of *G*.

Forbiddance of a graph family Reverse-free triples

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For *G*, denote by $\vec{\mathcal{G}}(G)$ the family of all the orientations of *G*.

A capacity type problem

Find the maximum cardinality of $C \subseteq [V(G)]^n$ such that $\mathbf{x}, \mathbf{y} \in C$ and for any $G' \in \vec{\mathcal{G}}(G) \exists i, j$ for which (x_i, y_i) and (y_j, x_j) are in E(G').

Forbiddance of a graph family Reverse-free triples

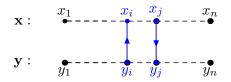
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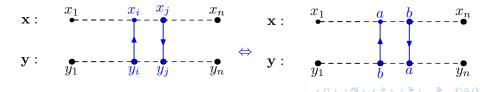
Forbiddance of a graph family Reverse-free triples

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Forbiddance of a graph family Reverse-free triples

•
$$G = K_{\mathbb{N}}$$

• permutations

Forbiddance of a graph family Reverse-free triples

• $G = K_{\mathbb{N}}$ • permutations

Capacity

Reverse-different



Forbiddance of a graph family Reverse-free triples

• $G = K_{\mathbb{N}}$ • permutations

Capacity

Reverse-different

 $1\quad 2\quad 5\quad 4\quad 3\quad 6$

 $1\quad 3\quad 4\quad 6\quad 2\quad 5$

Forbiddance of a graph family Reverse-free triples

• $G = K_{\mathbb{N}}$ • permutations

Capacity

Reverse-different



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Forbiddance of a graph family Reverse-free triples

• $G = K_{\mathbb{N}}$ • permutations

Capacity

Reverse-different



Forbiddance

Reverse–Free



Forbiddance of a graph family Reverse-free triples

• $G = K_{\mathbb{N}}$ • permutations

Capacity

Reverse-different



Forbiddance

Reverse–Free

1	2	5	4	3	6

 $1 \ 5 \ 4 \ 3 \ 6 \ 2$

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Forbiddance of a graph family Reverse-free triples

• $G = K_{\mathbb{N}}$ • permutations

Capacity

Reverse-different



Forbiddance

Reverse-Free

1	2	5	4	3	6

 $1 \ 5 \ 4 \ 3 \ 6 \ 2$

$$2^{\lfloor \frac{n}{2} \rfloor} \leq T(n) \leq \frac{n!}{3^{\lfloor \frac{n}{2} \rfloor}}$$

Forbiddance of a graph family Reverse-free triples

• $G = K_{\mathbb{N}}$ • permutations

Capacity

Reverse-different



$$2^{\lfloor \frac{n}{2} \rfloor} \leq T(n) \leq \frac{n!}{3^{\lfloor \frac{n}{2} \rfloor}}$$

Forbiddance

Reverse–Free

1	2	5	4	3	6

 $1 \ 5 \ 4 \ 3 \ 6 \ 2$

$$3^{\lfloor \frac{n}{2} \rfloor} \leq T'(n) \leq \frac{n!}{2^{\lfloor \frac{n}{2} \rfloor}}$$

Forbiddance of a graph family Reverse-free triples

Reverse–free permutations

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Forbiddance of a graph family Reverse-free triples

Reverse-free permutations

Partial permutations, i.e. ordered sets of k-elements.



Forbiddance of a graph family Reverse-free triples

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Reverse–Free k-uples

Let $T_k(n)$ be the maximum cardinality of a set of reverse-free *k*-uples of [*n*].

Forbiddance of a graph family Reverse-free triples

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Reverse–Free k-uples

Let $T_k(n)$ be the maximum cardinality of a set of reverse-free *k*-uples of [*n*].

$$t(k) = \limsup_{n \to \infty} \frac{T_k(n)}{k! \binom{n}{k}} = ?$$

Forbiddance of a graph family Reverse-free triples

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If
$$k = 2$$
 then $T_2(n) = \binom{n}{2} \Rightarrow t(2) = \frac{1}{2}$

Forbiddance of a graph family Reverse-free triples

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If *k* = 3 ??

Forbiddance of a graph family Reverse-free triples

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If k = 3 ?? t(3) = 5/24

Forbiddance of a graph family Reverse–free triples

Case $\mathbf{k} = \mathbf{3}$



Forbiddance of a graph family Reverse–free triples

Case k = 3

Theorem

$$T_3(n) = \frac{5}{24}n^3 - \frac{1}{2}n^2 + \frac{5}{8}n$$

Forbiddance of a graph family Reverse–free triples

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Case $\mathbf{k} = \mathbf{3}$

Theorem

$$T_3(n) = \frac{5}{24}n^3 - \frac{1}{2}n^2 + \frac{5}{8}n$$

Proof

Forbiddance of a graph family Reverse-free triples

Case k = 3

Theorem

$$T_3(n) = \frac{5}{24}n^3 - \frac{1}{2}n^2 + \frac{5}{8}n$$

Proof

 (\geq) Recursive construction. Tight when $n = 3^q$.

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Forbiddance of a graph family Reverse-free triples

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 (\leq) For any $a, b, c \in [n]$

Forbiddance of a graph family Reverse-free triples

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Forbiddance of a graph family Reverse-free triples

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b	С	а	
С	а	b	
b	а	С	
а	С	b	
С	b	а	

Forbiddance of a graph family Reverse–free triples

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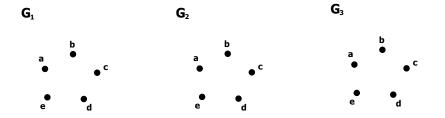
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Forbiddance of a graph family Reverse-free triples

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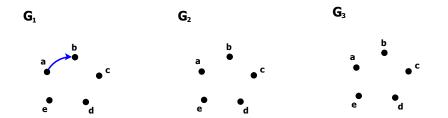
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Forbiddance of a graph family Reverse-free triples

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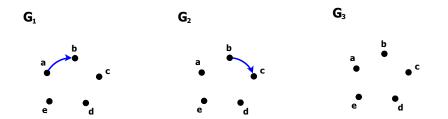
$abc \in C$



Forbiddance of a graph family Reverse-free triples

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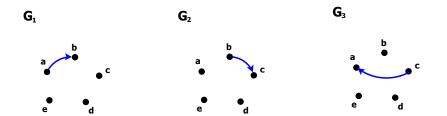
$abc \in C$



Forbiddance of a graph family Reverse-free triples

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$abc \in C$



Forbiddance of a graph family Reverse–free triples

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Forbiddance of a graph family Reverse–free triples

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D with $E(D) = E(G_1) \cap E(G_2) \cap E(G_3)$

Forbiddance of a graph family Reverse-free triples

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D with $E(D) = E(G_1) \cap E(G_2) \cap E(G_3)$

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Capacity of a uniform hypergraph Requirements over three strings Requirements over four strings

Cancellative Families

[KS07] J. Körner and B.Sinaimeri, On cancellative set families, Combinatorics, Prob. Computing, 16, 767–773, 2007.

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Capacity of a uniform hypergraph Requirements over three strings Requirements over four strings

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Capacity of a k-uniform hypergraph

G–difference: from binary relations to relations involving k-sets of strings

Capacity of a uniform hypergraph Requirements over three strings Requirements over four strings

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Capacity of a k-uniform hypergraph

G-difference: from binary relations to relations involving k-sets of strings

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Capacity of a uniform hypergraph Requirements over three strings Requirements over four strings

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Capacity of a k-uniform hypergraph

G-difference: from binary relations to relations involving k-sets of strings

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Capacity of a k-uniform hypergraph

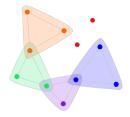
Capacity of a uniform hypergraph Requirements over three strings Requirements over four strings

Capacity of a *k*-uniform hypergraph

G–difference: from binary relations to relations involving k-sets of strings

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Capacity of a k-uniform hypergraph



Capacity of a uniform hypergraph Requirements over three strings Requirements over four strings

H is a complete **k–uniform** hypergraph

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Capacity of a uniform hypergraph Requirements over three strings Requirements over four strings

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H is a complete **k–uniform** hypergraph

Problem [KS88]

Let $C \subseteq [V]^n$ such that for any *k* strings $\exists I_{m,|V|} \subseteq [n]$ where the projections of the strings are all different.

Capacity of a uniform hypergraph Requirements over three strings Requirements over four strings

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First case to consider : $V = \{0, 1\} e k = 4$

Capacity of a uniform hypergraph Requirements over three strings Requirements over four strings

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Capacity of a uniform hypergraph Requirements over three strings Requirements over four strings

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First case to consider :
$$V = \{0, 1\} e k = 4$$

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Variations:

Capacity of a uniform hypergraph Requirements over three strings Requirements over four strings

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1 0

Variations:

One column of weight two

Capacity of a uniform hypergraph Requirements over three strings Requirements over four strings

H is a complete **k–uniform** hypergraph

Problem [KS88]

Let $C \subseteq [V]^n$ such that for any *k* strings $\exists I_{m,|V|} \subseteq [n]$ where the projections of the strings are all different.

First case to consider :
$$V = \{0, 1\} e k = 4$$

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Open Problems!!

Capacity of a uniform hypergraph Requirements over three strings Requirements over four strings

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Requirements over three strings

Problem

Capacity of a uniform hypergraph Requirements over three strings Requirements over four strings

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Requirements over three strings

Problem

Determine the maximum cardinality of $C \subseteq [V]^n$ such that for any 3 of its elements there exists *r* coordinates in which the respective columns of the strings are all different and of weight 1.

• *r* = 1 : Δ–systems [ES78, Kos00]

Capacity of a uniform hypergraph Requirements over three strings Requirements over four strings

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Requirements over three strings

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- *r* = 1 : Δ–systems [ES78, Kos00]
- r = 2 : Cancellative Families [Tol00, Kat75]

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• *r* = 1 : 4–locally thin sets [FKM01]

Capacity of a uniform hypergraph Requirements over three strings Requirements over four strings

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- r = 1: 4–locally thin sets [FKM01]
- *r* = 2 : 2–cancellative families[KS07]

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Capacity of a uniform hypergraph Requirements over three strings Requirements over four strings

2–Cancellative Families

Theorem

$$0.11 \leq \limsup_{n \to +\infty} \frac{1}{n} \log M(n) \leq 0.42$$

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Capacity of a uniform hypergraph Requirements over three strings Requirements over four strings

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Proof

Upper Bound: Use Tolhuizen's result.

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Lower Bound: Use random choice.

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- G-differenti Permutation
- Forbiddance Problems
- Cancellativity



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Extremal Combinatorics \Leftrightarrow Information Theory

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